

Docket No.
20213-81001

Declaration For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

PROLACTIN LOWERING DRUG

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on 5 November 1999 as United States Application No. or PCT International

Application Number PCT/EP99/08507

and was amended on 18 MAY 2001 (corresponding to Amendment under Article 34 PCT)

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

<u>19853476.0</u>	<u>GERMANY (DE)</u>	<u>19 NOVEMBER 1998</u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
<u>PCT/EP99/08507</u>	<u>PCT</u>	<u>5 NOVEMBER 1999</u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
<u> </u>	<u> </u>	<u> </u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)

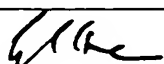
I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, CFR Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor
WOLFGANG WUTTKE

Sole or first inventor's signature



Date

2001-09-09

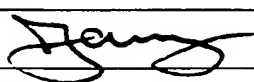
Residence
Domäne 8, D-37120 Bovenden, Germany

Citizenship
Germany

Post Office Address
Same as above

Full name of second inventor, if any
HUBERTUS JARRY

Second inventor's signature



Date

2001-09-04

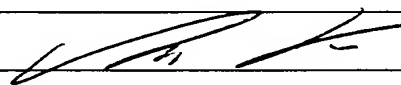
Residence
Am Wasserturm 5, D-37249 Neu-Eichenberg, Germany

Citizenship
Germany

Post Office Address
Same as above

Full name of third inventor, if any
MICHAEL POPP

Third inventor's signature



Date

2001-08-22

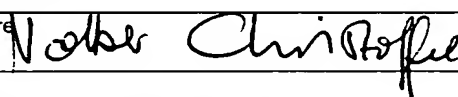
Residence
Weinbergstrasse 3, D-91207 Lauf/Pegnitz, Germany

Citizenship
Germany

Post Office Address
Same as above

Full name of fourth inventor, if any
VOLKER CHRISTOFFEL

Fourth inventor's signature



Date

2001-08-22

Residence
Bergstrasse 11, D-92369 Sengenthal, Germany

Citizenship
Germany

Post Office Address
Same as above

Full name of fifth inventor, if any

BARBARA SPENGLER

Fifth inventor's signature

Barbara Spengler

Date

22.08.01

Residence

Karl-Speirer-Strasse 16, D-92318 Neumarkt/Opf., Germany

Citizenship

Germany

Post Office Address

Same as above

Full name of sixth inventor, if any

Sixth inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of seventh inventor, if any

Seventh inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of eighth inventor, if

Eighth inventor's signature

Date

Residence

Citizenship

Post Office Address

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Wolfgang Wuttke; Hubertus Jarry; Michael Popp; Volker Christoffel; and
Barbara Spengler
Serial No.: 09/856,219
Filed on: May 18, 2001
Title: PROLACTIN LOWERING DRUG

CERTIFICATE UNDER 37 C.F.R. 3.73(b) ESTABLISHING RIGHT OF ASSIGNEE TO PROSECUTE

BOX PCT
Assistant Commissioner for Patents
Washington, D. C. 20231

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO BOX MISSING PARTS THE ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D. C. 20231 ON _____	
BY _____	_____
Date of Signature _____	

Dear Sir or Madam:

BIONORICA AG

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of chain of title from the inventor, of the patent application identified above, to the current assignee as shown below:

1. From: Wolfgang Wuttke; Hubertus Jarry; Michael Popp; Volker Christoffel; and
Barbara Spengler

To: BIONORICA AG

The document is being filed herewith with the Patent and Trademark Office. A copy thereof is attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 27.8.2001

Name: M. Popp

Title: CEO

Signature: [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Wolfgang Wuttke; Hubertus Jarry; Michael Popp; Volker Christoffel; and
Barbara Spengler

Serial No.: 09/856,219

Filed on: May 18, 2001

Title: PROLACTIN LOWERING DRUG

POWER OF ATTORNEY

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D. C. 20231

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO ASSISTANT COMMISSIONER FOR PATENTS, BCL/PCT WASHINGTON, D. C. 20231 ON _____	
BY _____	_____
Date of Signature _____	

Dear Sir or Madam:

The undersigned assignee of the entire interest in the above-identified patent application hereby appoints the following attorneys and/or agents, Edward G. Poplawski, Esq., Reg. No. 33,439; Nisan A. Steinberg, Ph.D., Reg. No. 40,345, and Denise L. McKenzie, Esq., Reg. No. 43,790, members of the bar of the State of California, whose address is SIDLEY AUSTIN BROWN & WOOD, 555 West Fifth Street, Los Angeles, California 90013-1010, to prosecute and transact all business in the U.S. Patent and Trademark Office relating to this application.

Please send all future correspondence to:


Edward G. Poplawski, Esq.
SIDLEY AUSTIN BROWN & WOOD
555 West Fifth Street
Los Angeles, CA 90013-1010

and direct all telephone calls to: Nisan A. Steinberg, Ph.D. at 213/896-6665; Fax (213) 896-6600.

Respectfully submitted,

BIONORICA AG

Dated: 27.8.2001


Print Name of Person Signing: M. Popp
Title of Person Signing: CEO

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Wuttke et al.**

Docket No.

BIONORICA-81

Serial No.

09/856.219

Filing Date

May 18, 2001

Examiner

Unknown

Group Art Unit

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Invention:

PROLACTIN LOWERING DRUG

I hereby certify that this **Assignment executed by Wuttke, Jarry, Popp, Christoffel, and Spengler**
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on **September 24, 2001**

(Date)

Ann Weiss

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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